
To: IJB Audit, Risk & Scrutiny Committee

On: 19 June 2020

Report by: Chief Officer

Heading: IJB & HSCP Risk Registers

1. Purpose

- 1.1. The purpose of this report is to provide an opportunity for the IJB Audit, Risk & Scrutiny Committee to scrutinise the Risk Registers being maintained within Renfrewshire Health & Social Care Partnership (HSCP) as at 31 May 2020.
 - 1.2. This report covers the reviews carried out in March 2020 for the IJB Risk Register and May 2020 for the HSCP Risk Register.
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2. Recommendation

The IJB Audit, Risk & Scrutiny Committee is asked to:

- Review the content of this report;
 - Approve the IJB Risk Register; and
 - Note the HSCP Risk Register.
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3. Current Position

- 3.1. The Risk Registers maintained within the HSCP are required to be updated by the relevant risk owners and reported to the Committee regularly. The next report will be presented to the IJB Audit, Risk & Scrutiny Committee in December 2020.
 - 3.2. In addition to the attached Risk Registers, a specific Covid-19 Risk and Issues Log is maintained and reviewed by the Senior Management Team on a weekly basis as from March 2020.
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4. Background

- 4.1 The HSCP previously combined risks for the IJB, Social Work and Health into one risk register. The status of these Risk Registers are regularly

reported to the HSCP Senior Management Team and updated as required by the risk owners.

- 4.2 Scrutiny of the Integration Joint Board Risk Register will be undertaken by the Audit, Risk & Scrutiny Committee and information relating to key partnership risks will be provided to the Committee for awareness. Outcomes of this scrutiny will be available via the minutes for this Committee.

5. IJB Risk Register

- 5.1 The IJB Risk Register is maintained, updated and reported in line with the Risk Management Policy developed for integration bodies.
- 5.2 The last review of this Risk Register was carried out by the Audit Committee in January 2019. The risk owners update these registers on a quarterly basis.
- 5.3 There was 1 risk added to the Risk Register since the last review:

Ref IJBRR.20.03.01 – The risk that decisions could be delayed for emergency measures needed for the Covid-19 pandemic.
- 5.5 There are **6** 'live' risks on the IJB Risk Register with **4** items having a risk level of 'High' and **2** with a risk level of 'Moderate'.
- 5.6 All risks with a current risk level of 'Very High' or 'High' are reviewed quarterly by the risk owners. Items with a current risk level of 'Medium' or 'Low' can be reviewed less regularly in line with the Risk Management Policy.
- 5.7 There have been no risks recommended for closure since the last review.
- 5.8 The IJB Risk Register as at 31 March 2020 is attached as Appendix 1.

6. HSCP Risk Register

- 6.1 The Renfrewshire HSCP Risk Register is currently maintained, updated and reported in line with the expectations of both NHSGGC and Renfrewshire Council.
- 6.2 The Senior Management Team regularly review the content of this Risk Register with risk owners updating the register as required.
- 6.3 There are **13** 'live' risks on the HSCP Risk Register, with **8** items having a current risk level of 'High' and **5** items with a risk level of 'Moderate'.
- 6.4 Due to the recent Covid-19 Pandemic, there is a separate Risk Register for the HSCP which highlights current operational risks and the management

of them. This Risk Register is reviewed and update by the Senior Management Team currently on a weekly basis.

6.5 The HSCP Risk Register as at 31 May 2020 is attached as Appendix 2.

Implications of the Report

1. **Financial** - There are no financial implications arising from the submission of this paper. It is anticipated that costs associated with the management of individual risks will be met through service budgets. Where additional funding is required in the management of specific risks this should be considered by the Chief Financial Officer on a case by case basis.
2. **HR & Organisational Development** - There are no HR & OD implications arising from the submission of this paper
3. **Community Planning** - There are no Community Planning implications arising from the submission of this paper
4. **Legal** - There approval of the Risk Management Policy and Strategy and initial list of risks is in line with the requirements of the Integration Scheme.
5. **Property/Assets** - There are no property/ asset implications arising from the submission of this paper.
6. **Information Technology** - There are no ICT implications arising from the submission of this paper.
7. **Equality and Human Rights** -The recommendations contained within this report have been assessed in relation to their impact on equalities and human rights. No negative impacts on equality groups or potential for infringement have been identified arising from the recommendations contained in the report
8. **Procurement Implications** - There are no procurement implications arising from the submission of this paper.
9. **Privacy Impact** - There are no privacy implications arising from the submission of this paper.
10. **Risk** – Risk implications are detailed in the Risk Registers.
11. **Risk Implications** – As per the subject content of this paper

List of Background Papers – None.

Author: Jean Still, Head of Administration

Renfrewshire IJB Risk Register

Report Type: Risks Report

Generated on: 31 March 2020

HSCP Senior Management Team

01. Financial Sustainability

Context		Risk Statement	Owned by	Current Risk Control Measures	Likelihood	Impact	Evaluation
IJBRR.20.01.02 Demographic pressures <u>Context:</u> (1) Medium and longer term financial planning (2) Corporate and service review activities (3) Strategic commissioning approach (4) Development of cost care models		There is a risk that if financial and demographic pressures of services are not effectively planned for and managed over the medium to longer term, there would be an impact on the ability of the service to deliver services to the most vulnerable people in Renfrewshire.	HSCP Senior Management Team	* Demand management review undertaken * Long term financial planning processes, including strategic commissioning plans * Budget monitoring processes in place and subject to ongoing review * Client group budget management meetings held * Programme of financial management training in place for budget holders * Eligibility criteria established as appropriate * Programme of service reviews in place * Investment in service redesign opportunities to improve efficiency and effectiveness.	02	05	10 High
Action Codes	Linked Actions	Latest Note			Assigned To	Due Date	Status
	Regular reporting to the IJB Audit, Risk & Scrutiny Committee and Integration Joint Board				HSCP SMT	31.01.21	

Context		Risk Statement	Owned by	Current Risk Control Measures	Likelihood	Impact	Evaluation
IJBRR.20.01.03 Key financial risks		There are a number of financial challenges facing the IJB and if not adequately addressed, could lead to financial instability within the partnership and potential impact to service delivery.	HSCP Chief Finance Officer	*Financial management framework implemented. *Regular monitoring by Chief Finance Officer. *Budget meetings across all service areas. *Finance issues to be discussed at SMT and IJB meetings. *Daily reviews of patients on special observations, together with detailed monitoring on a weekly basis remains in place and regular meetings between management and clinical staff are held. *Regular financial performance meetings in place with HSCP Chief Officer, Chief Finance Officer, NHS Director of Finance and Council Director of Finance and Resources *Regular meetings of Medicines Management Group with a focus on prescribing year end out-turn. *Discussion at GP forum on importance of prescribing financial break even. *Financial situation to be discussed at GP forum and each practice visited thereafter to highlight and agree further prescribing cost reduction measures. *Continued vigilance particularly around effect of generic drug price fluctuations. *Risk assessments undertaken to ensure unacceptable clinical risks are avoided. *Close vigilance and reporting around all Covid-19 expenditure	02	05	10 high
<u>Context:</u> 1.Service Areas individually, or in combination, experience expenditure levels which exceed funding allocations and threaten achievement of HSCPs key financial objectives due to: (a) Pay growth (b) Prescribing (c) Sickness & Absence cover (d) Community equipment expenditure (e) Impact arising from Resource Allocation Model (f) Financial impact of any clinical failures (g) Compliance with new statutory requirements 2. The requirement for savings to be delivered in could have an impact on front line services, likelihood of this is increasing. 3. Impact of Covid-19							
Action Codes	Linked Actions	Latest Note			Assigned To	Due Date	Status
	*Financial information is reported regularly to the Integration Joint Board and the Senior Mangement Team. *Robust financial monitoring and budget setting procedures including regular budget monitoring & budget meeting with budget holders *Development of a Medium-Term Financial Strategy (approved by the IJB at its meeting on the 22 November 2019)				HSCP CFO	31.01.21	

02. Strategic Plan

Context		Risk Statement	Owned by	Current Risk Control Measures	Likelihood	Impact	Evaluation
IJBRR.20.02.04 Health Inequalities		There is a risk that health inequalities increase. This may result from long-term conditions, poverty, deprivation or individual risk-taking behaviours resulting in a population with higher levels of need, lower levels of resilience and fewer opportunities to participate fully in their communities.	Head of Strategic Planning & Health Improvement	*EQIA support service policies and redesign on an ongoing basis *Increase focus on equalities issues across range of HSCP initiatives. *Health Improvement Team in place *Community Links Team in place *Support for community led health activities *Targeted events to raise awareness *Focus of strategic plan	03	03	9 Moderate
Context: (1) Health Improvement (2) Partnership working							
Action Codes	Linked Actions		Latest Note		Assigned To	Due Date	Status
	*Third Sector Interface represented on key strategic groups *H&SCP funding of third sector				HSP&HI	31.01.21	

Context		Risk Statement	Owned by	Current Risk Control Measures	Likelihood	Impact	Evaluation
IJBRR.20.02.05 Meeting targets and maintaining standards		There is a risk that failure to Local Delivery Plan/ Strategic Plan targets and standards, and other key performance indicators could result in a decreased level of service for patients and clients	HSCP Senior Management Team	*Proforma reports presented to all IJB meetings with full scorecard presented 6-monthly *Monitoring by planning groups and SMT *Needs Assessment carried out *Frameworks guidance/circulars *Legislation *National and Local Performance Indicators *Equality Scheme Action Plans *Flexible Budgets *Staffing resources are flexed to meet priorities/demand *Development of data capture systems to inform local planning. learning and education plans reflect need for anti-discriminatory practice *Quality care and professional governance arrangements	03	03	9 Moderate
<u>Context:</u> Lack of relevant disaggregated data hinders detailed analysis and planning.							
Action Codes	Linked Actions		Latest Note		Assigned To	Due Date	Status
	*Fundamental review of key performance indicators reported *Review of systems used to record, extract and report data *Review of and where and how often performance information is reported on				HSCP SMT	31.01.21	

	<p>how learning is fed back into processes and procedures</p> <p>*Ongoing work developing a culture of performance management and evaluation throughout the Transformational Change Programme</p>				
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Context		Risk Statement	Owned by	Current Risk Control Measures	Likelihood	Impact	Evaluation
<p>IJBRR.20.02.06.</p> <p>Preparing for the longer-term impacts of Brexit</p> <p><u>Context:</u></p> <p>*Economic growth and employment</p> <p>*EU funding</p> <p>*Suppliers and markets which may impact the provision of services</p> <p>*The financial position of the HSCP</p> <p>*Poverty (including food poverty)</p> <p>*Potential increased numbers of citizens returning to the UK where previously resident in an EU country</p> <p>*The EU Settlement scheme</p> <p>*Impact on workforce planning</p>		Regardless of whether the UK leaves the European Union with a deal or with no deal, there will be longer term challenges for Renfrewshire HSCP that require to be effectively managed with partners, otherwise there could be sustained impact in several areas including unemployment, the local economy, manufacturing, grant funding, research and development, food instability, and increases in the cost of living and vulnerability.	HSCP Senior Management Team	<p>Top controls</p> <ul style="list-style-type: none"> ▪ Maintaining a high level of understanding of the current position in relation to EU funding and maximising current benefits ▪ Maximum drawdown of existing funds identified ▪ Ongoing engagement in development process for new funds (successor to EU funding) ▪ HSCP's financial position ▪ Analysis and ongoing review of the HSCP's financial position based on independent advice of investments and reserves ▪ Procurement/ budget monitoring for increase in supplier costs 	04	04	16 High
Action Codes	Linked Actions	Latest Note			Assigned To	Due Date	Status
	<p>*Engaged with both NHSGGC and Renfrewshire Council on working groups around Brexit</p> <p>*Stable workforce</p> <p>*Medicines and medical devices being addressed at national level</p> <p>*As the Partnership does not directly employ staff, the Chief Officer will work closely with partners to ensure that as implications become clear, the HSCP are able to best represent and meet the needs of all staff.</p>				HSCP SMT	31.01.21	

03. Covid-19 Emergency Arrangements

Context		Risk Statement	Owned by	Current Risk Control Measures	Likelihood	Impact	Evaluation
IJBRR.20.03.01 Covid-19 Emergency Arrangements		In view of guidance and support measures to prevent the spread of Covid-19, delegation to the Chief Office to enable decisions to be taken without there being a requirement for the IJB to meet.	Chief Officer	*Chief Officer to enable decisions to be taken in consultation with Chair and Vice Chair to make all decisions required relating to the functions of the IJB	04	04	16 High
<u>Context:</u> (1) Support measures to prevent the spread of the Covid-19 virus							
Action Codes	Linked Actions		Latest Note		Assigned To	Due Date	Status
	*Additional meetings of the IJB to take place *Meetings will be conducted remotely using a video and/or audio service that will enable all members to participate *To continue the existing emergency delegation to the Chief Officer in consultation with Chair and Vice Chair				CO	31.01.21	

Renfrewshire HSCP Risk Register

Report Type: Risks Report
Generated on: 31 May 2020
HSCP Senior Management Team

1 - HSCP Organisational

Context		Risk Statement	Owned by	Current Risk Control Measures	Likelihood	Impact	Evaluation
HSCPRR.20.01.01 Information Governance		There is a risk that failure to develop and implement robust procedures around information governance could lead to inappropriate sharing of sensitive information and potential sanctions from the Information Commissioner and breach of copyright law. There is a risk that failure to develop an Information Asset Register relating to the General Data Protection Regulations (GDPR) could lead to the ICO imposing fines on the HSCP	HSCP Head of Administration	*Procedures are in place on all sites for use/release of data, including Multi-Agency Public Protection Arrangements (MAPPA) related information, monitoring of Information Governance Standards, Caldicott Guardian responsibilities, Information Sharing Protocols. *All portable devices encrypted *Copyright notices circulated to all bases and clearly displayed at all photocopiers/printers. *Staff made aware of copyright information available on StaffNet including summary of National Policy on Copying of Print Materials Protected by Copyright August 2011. *Process developed for responding to requests for personal data/ Subject Access Requests *Process developed for managing electronic and manual record containing personal data *Data protection training and awareness sessions in place *Operational policies *Professional standards of conduct *Information Governance Managers and Information Governance Team in place in partner organisations *Staff training and awareness sessions under way	03	03	09 Moderate
<u>Context:</u> (1) Subject Access Requests (2) Data sharing agreements (3) GDPR							
Action Codes	Linked Actions		Latest Note		Assigned To	Due Date	Status

Context		Risk Statement	Owned by	Current Risk Control Measures	Likelihood	Impact	Evaluation
HSCPRR.20.01.02 Workforce Planning		There is a risk that failure to prioritise effective workforce planning could lead to longer term workforce difficulties, shortages in some skill sets and potential impact on service delivery.	HSCP SMT	*Quality assurance process of working on shift to identify areas of good practice and additional care pressures. *Vacancies are recruited to follow risk assessment and review of staffing profile with minimum delay in accordance with Board process *There is a monthly forward planning of off-duty rosters as per rostering policy with weekly review of planned roster by service manager and daily review by lead nurses to identify and manage any shortfalls *The completion of an integrated workforce plan based on the six steps methodology currently under development will inform longer term planning and decision making in relation to current and future utilisation of workforce resources *Weekly review of areas of high clinical activity and deployment of resources to meet this. *Weekly request to nurse bank to meet additional staffing resource requirement. *Daily reconciliation of staffing levels for each area and review of available redeployment opportunities and risk management to ensure appropriate deployment of all available staffing according to risk. * A three month forward plan in place for the winter months (including Christmas and New Year) to ensure adequate staff cover and contingencies are in place. *Services working in accordance with rostering policy and monitoring/ escalation guidance *Robust application of attendance management policy to maximise available staffing resources. *Robust application of safe and supportive observation policy to ensure application of enhanced observations meets requirements of least restriction as described within Milan Principles. *Chief Nurse overview of workforce recommendations in line with local/ Board/ national review *Systems in place to support all professional registration/ revalidation in order to minimise risk of lapse and consequently on service delivery *Template letter now reviewed. Local process updated to enable reporting measures. *Professional assurance framework in place.	04	04	16 High
<u>Context:</u> A flexible and skilled workforce is essential to the future development of high quality services and reliance on locum and agency staffing increases financial pressures. (1) Specific pressures around medical staffing, district nursing and home care services (2) Sufficient numbers of staff (3) Right competencies (4) Professional Registration (5) Pressures resulting from additional complex planning structures which require managerial and clinical input. (example: moving forward together, Regional Planning) (6) A practice handing back their contract and the HSCP has to run the practice			HSCP Chief Officer, HSCP Clinical Director, HSCP Heads of Health & Social Care (West Renfrewshire and Paisley);	*Early warning systems in place *Prioritise at risk practices for additional resources *Work with Primary Care Support to support practices *Cluster support for ‘struggling’ practices	04	06	16 High
Action Codes	Linked Actions		Latest Note		Assigned To	Due Date	Status

Context		Risk Statement	Owned by	Current Risk Control Measures	Likelihood	Impact	Evaluation
HSCPRR.20.01.03 Resilience – Business Continuity		There is a risk that non availability of (1) premises (2) staff and/or (3) systems (telephony, Swift, power failure etc) may result in adverse impact on service provision. Ineffective preparation and planning for potential disruptive events, such as those reflected within the West of Scotland Community Risk Register, that directly relate to the HSCP services, may result in the inability to effectively respond and manage the event in a way minimises harm to the community, our employees and the reputation of the HSCP.	HSCP Head of Administration	* Investment in and management of properties to ensure premises are fit for purpose. *Business continuity plans in place for all areas of the service *Policies and processes in place regarding system failures e.g. helpdesk *SWIFT/AIS guidance regularly updated and communicated to staff, with system subject to ongoing programme of upgrading. *Rigorous implementation of absence management and support policies. * Participation in Partner Organisations' emergency planning (ie for major incidents, pandemics etc) *Participation in joint exercises *Participation in various working groups to discuss and develop incident response arrangements. *Emergency contacts directory *Call cascade tests by Local Authority *Robust and tested Business Continuity Plan	02	03	6 Moderate
<u>Context:</u> (1) Non-availability of premises, employees or systems impacting on services/functions (2) Disruptive events that impact on the community, the environment, our employees or the reputation of the service. (2) http://www.firescotland.gov.uk/media/864542/west_crr_version_1.2.pdf							
Action Codes	Linked Actions	Latest Note			Assigned To	Due Date	Status

Context		Risk Statement	Owned by	Current Risk Control Measures	Likelihood	Impact	Evaluation
HSCPRR.20.01.05 Staff Governance, Health, safety & Wellbeing		There is a risk if the Health and Safety of staff is not supported through a comprehensive range of policies and procedures. If full compliance is not achieved this may impact on the ability of the service to provide a safe working environment for staff (including violence to staff).	HSCP SMT	*Compliance with Staff Governance standards *Joint Health and Safety Committee in place *The HSCP's organisational development and service improvement strategy focuses on 3 key objectives that will support the workforce to be committed, capable and engaged in person-centred safe and effective service delivery *Completion of individual risk assessments for clients *Warning flag system in place on electronic care records *Interview rooms designed in line with health, safety and professional standards *Ongoing programme of staff training, including mandatory and statutory training, on health and safety issues. *Recording of accidents and violent incidents, with statistics reviewed on a regular basis by partnership Health and Safety Committee. *Guidance on driving and transport use *Guidance on effective use of equipment in place *Investigation and ongoing review process of significant incidents *Learning from RIDDOR led by Health & Safety advisors *Staff debriefing following incidents *Active lone working policies, procedures and personal alarms *Occupational Health services, stress management and counselling *Adverse weather policies in place	02	04	8 Moderate
<u>Context:</u> (1) Employee safety and wellbeing in the community							
Action Codes	Linked Actions		Latest Note		Assigned To	Due Date	Status

Context		Risk Statement	Owned by	Current Risk Control Measures	Likelihood	Impact	Evaluation
HSCPRR.20.01.06 Equality & Human Rights Compliance		There is a risk if compliance of duties which came into force in April 2011 in relation to the Equality Act is not met. If relevant activities are not prioritised by the service, there may be a risk of future legal or financial challenge.	Head of Strategic Planning & Health Improvement	*The Equality Impact Assessment toolkit is implemented *Equality implications are recorded as part of IJB board papers *Equality and diversity training for all employees *The partnership has representation on the Diversity and Equality Alliance in Renfrewshire Group to promote and raise awareness of equalities *Fora with minority groups established *Signposting events held with West of Scotland Racial Equality Council *Participation in community planning and corporate equalities groups.	03	03	9 Moderate
<u>Context:</u> (1) Meeting main duties flowing from Act (2) Promoting access to care and support across minority groups							
Action Codes	Linked Actions		Latest Note		Assigned To	Due Date	Status

2 - HSCP Clinical & Care

Context		Risk Statement	Owned by	Current Risk Control Measures	Likelihood	Impact	Evaluation
HSCPRR.20.02.07 Public Protection		There is a risk that inconsistent assessment and application of Adult and Child Support and Protection procedures may result in poor identification of those at risk or those who have been harmed, and may also lead to a failure to comply with legislative requirements.	Heads of Health & Social Care (Paisley & West Ren); Head of Mental Health, Addictions & Learning Disability Services.	*Robust policies and procedures communicated throughout the HSCP. *Regular caseload management by team leaders in place, clinical supervision of staff established. *Governance arrangements at service, HSCP, Partnership and NHSGGC levels. *Multi-agency child and adult protection committees well established, with independent chair in place for both. *Chief Officers Group, comprising of leaders from all relevant partner agencies, meet on a regular basis to discuss key issues. Joint Communications sub-group now established. *Multi-agency child and adult protection training programme in place, facilitated by dedicated trainer. *Regular programme of case file auditing undertaken by the adult and child protection committee. Social Work implementing an internal case file audit programme. *The self-evaluation and quality assurance processes conducted by all services. *Multi-agency action plan developed to progress recommendations of Significant Case review *Annual conferences held by both the adult and child protection committees *Self-evaluation activities undertaken on an annual basis by both the adult and child protection committees. *Management and supervision policies in place and levels of management review established. *Recording protocols and data quality checks undertaken *Lead officers for child and adult protection, and MAPPA identified with Social Work. *Development work undertaken with STRADA in relation to work with families where parental addiction exists. *Contract monitoring undertaken *Information management and security policies in place corporately.	03	05	15 High
<u>Context:</u> The partnership has a public protection role. (1) Adult and child protection (2) Effective risk management (3) Management of high-risk offenders (4) Multi-agency training and procedures							
Action Codes	Linked Actions		Latest Note		Assigned To	Due Date	Status

Context		Risk Statement	Owned by	Current Risk Control Measures	Likelihood	Impact	Evaluation
HSCPRR.20.02.08 Clinical and Care Governance		There is a risk that failure to comply with all clinical standards and protocols and appropriate clinical and environmental risk assessments could result in harm to staff, patients and service users, visitors and the public	HSCP Heads of Health & Social Care (West Renfrewshire and Paisley); HSCP Head of Mental Health, Addictions & Learning Disabilities; Head of Strategic Planning & Health Improvement; HSCP Chief Nurse, Head of Administration	*Proactive controls arising from clinical and general management systems and processes including provision and uptake of relevant training, robust policy and procedures, Health & Safety Forum, Quality Care & Professional Governance Group, Patient Safety Forum and incident monitoring. *Ongoing monitoring includes structured responsibility for detection and review of Critical Incidents with special emphasis on ensuring lessons learned from incidents are disseminated and applied across the HSCP, Renfrewshire Council and the NHS Board. *Duty of Candour responsibilities *Professional structure in place *Ensuring that there is professional as well as operational overview of any savings discussions *Assurance that robust risk management process in place to justify any decisions and describe alternative service delivery to ensure safe, effective and person centred care *Professional leads have process in place to record and, if necessary, escalate concerns	03	05	15 High
<u>Context:</u> (1) Pressure re providing adequate staffing levels to meet demands of activity. (2) Examples of clinical and care incidents include Suicide or Self Harm; Violent patients; Absconding patients; Accidental and Deliberate Overdose; Moving and Handling Incidents (3) Challenges of meeting workload demands and improving services in conjunction with identification of savings (4) Ensuring alignment with Scottish Government and Board strategic direction in terms of workforce							
Action Codes	Linked Actions	Latest Note			Assigned To	Due Date	Status

Context		Risk Statement	Owned by	Current Risk Control Measures	Likelihood	Impact	Evaluation
HSCPRR.20.02.09 Failure of major providers		There is a risk that failure or loss of a major service provider may impact on our capacity to deliver services, protect vulnerable children and adults and may impact on additional costs to cover key services. There is a risk that the HSCP will not be able to deliver services as outlined within the GMS Contract/MoU by March 2021, due to the scale of work required and workforce availability	HSCP Heads of Health & Social Care (West Renfrewshire and Paisley); HSCP Head of Mental Health, Addictions & Learning Disabilities; Head of Strategic Planning & Health Improvement; HSCP Chief Nurse HSCP Clinical Director	*Appraisal of providers conducted as part of procurement process. *Purchasing patterns monitored by Finance Team and senior managers. *Programme of reviews of all service providers. *Main providers registered and monitored by Care Inspectorate, with reports accessible for review. Participation in local and national contingency arrangements relating to providers facing financial uncertainty to ensure minimal impact on local service users. *Contract compliance and performance monitoring including the new arrangements for the two hospices *Clinical Director providing support and guidance to GP services reporting challenges in recruitment and capacity *Practice Support Pharmacists are being deployed to GP surgeries based on level of workforce shortages and risk of failure *	03	04	12 High
<u>Context:</u> (1) Care providers (2) GP services							
Action Codes	Linked Actions		Latest Note		Assigned To	Due Date	Status

Context		Risk Statement	Owned by	Current Risk Control Measures	Likelihood	Impact	Evaluation
HSCP RR.20.02.10 Lost Bed Days		There is a risk that failure to meet agreed reduction in lost bed days, resulting in adverse impact on patients and acute services bed capacity/cost pressures, in particular those arising from Adults with Incapacity cases.	Heads of Health & Social Care (Paisley and West Ren)	*Monthly Performance Monitoring in place. *Regular monitoring of position and mechanism for dialogue with Local Authority and Acute Division in place. *Regular reporting to IJB, SMT, OPR and NHSGGC Ageing Population Group.	04	04	16 High
<u>Context:</u> (1) Change in criteria - the number of days where a patient has to be ready for discharge has been reduced to 3 days (2) Change in arrangements re beds at Darnley							
Action Codes	Linked Actions		Latest Note		Assigned To	Due Date	Status

Context		Risk Statement	Owned by	Current Risk Control Measures	Likelihood	Impact	Evaluation
HSCP RR.20.02.11 Developing self-evaluation arrangements		There is a risk that self-evaluation of performance and practice is key to the continuous improvement of the service. There is a risk that insufficient development of this agenda will impact on service development activity and increase the burden of external scrutiny.	Heads of Health & Social Care (Paisley and West Ren); Head of Mental Health, Addictions & Learning Disability Services; Head of Strategic Planning & Health Improvement.	* Inspection overview submitted to Board on 6 monthly basis * Programme of self assessment rolled out across service using PSIF. * Complaints monitoring allows for key areas of development to be identified - update	03	03	9 Moderate
<u>Context:</u> (1) Public Service Improvement Framework (2) Consolidation of CSE accreditation (3) Supported self-evaluation with the Care Inspectorate (4) Case file auditing programme							
Action Codes	Linked Actions		Latest Note		Assigned To	Due Date	Status

Context		Risk Statement	Owned by	Current Risk Control Measures	Likelihood	Impact	Evaluation
HSCPRR.20.02.12 Self-directed support		There is a risk that challenges around implementation of the 4 options could impact on service users and the reputation of the HSCP	Heads of Health & Social Care (Paisley & West Ren); Head of Mental Health, Addictions & Learning Disability Service; Chief Finance Officer.	*Streamlined controlled business process introduced to promote equity and quickly deliver supported plans that are agreed using agreed resource allocation system *Ongoing training and development programme in place ensuring staff remain up to date with current business process *Development of resource directory being progressed *Procurement process developed and established and embedded within current processes *Financial allocation systems refreshed in line with living wage commitments *Assessment and care management documentation developed and refreshed for frontline staff to ensure consistency with self-directed support process *CIPFA SDS Guidance implemented and embedded within current processes *Continued development of business processes and systems to embed carers act legislative changes within local SDS business processes *Business readiness activity underway for the planned extension of free personal care and the waiving of charges in line with SDS guidance.	03	04	12 High
<u>Context:</u> (1) Social Care (Self-Directed Support) (Scotland) Act 2013 (2) Personalised approach to social care services (3) Individual budgets (4) Prioritising and meeting assessed needs (5) Managing expectations							
Action Codes	Linked Actions		Latest Note		Assigned To	Due Date	Status

3 - HSCP Hosted Services

Context		Risk Statement	Owned by	Current Risk Control Measures	Likelihood	Impact	Evaluation
HSCPRR.20.03.13 Workforce Planning (H06 - Performers and Ophthalmic Lists)		There is a risk that failure to undertake all relevant checks with regard to Applicants seeking inclusion in GG&C Performers & Ophthalmic Lists, resulting in failure to comply with regulatory requirements and could result in a GP and/or Ophthalmic practitioner being incorrectly admitted to the list.	Head of Primary Care Support	*Application checklists to be adhered to ensure all appropriate checks are undertaken. *Process in place to liaise with Clinical Director/Optomeric Advisor if any issues raised in relation to Clinical references provided, prior to admitting applicant to relevant list.	03	04	12 High
Action Codes	Linked Actions	Latest Note			Assigned To	Due Date	Status