To:	**Renfrewshire Health and Social Care Integration Joint Board Audit Committee**
On:	**14 September 2018**

Report by:	**Chief Internal Auditor**

Heading:	**Training for Audit Committee Members**

1. Summary

1.1 In line with national guidance produced by the Chartered Institute of Public Finance and Accountancy (CIPFA) on the implementation of Audit Committee Principles in Scottish Local Authorities, it is good practice to provide training on audit and risk related matters to members of the Audit Committee.

1.2 An amended programme of training briefings was approved at the Audit Committee on 29 June 2018. Appendix 1 provides details of the revised dates.

1.3 Appendix 2 provides an outline of the briefing to be delivered on "Risk Management".

2. Recommendations

2.1 That the IJB Audit Committee not the content of the Risk Management briefing.

Implications of the Report

1. **Financial** - none.
2. **HR & Organisational Development** - none.
3. **Community Planning** - none.
4. **Legal** - none.
5. **Property/Assets** - none.
6. **Information Technology** - none.

7. **Equality & Human Rights** - none

8. **Health & Safety** - none.

9. **Procurement** - none.

10. **Risk** – Training for members on audit and risk related matters is good practice.

11. **Privacy Impact** - none.

List of Background Papers – none.

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Appendix 1

Date	Topic
29 June 2018	Overview of Audit Scotland
14 Sept 2018	Risk Management
25 Jan 2019	The Role of Internal Audit

Risk Management

IJB Audit Committee

Friday 14th September 2018

Karen Locke, Risk Manager, 0141 618 7019

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Resourcing risk management

6. Resourcing the risk management framework

6.1 Much of the work on developing and leading the ongoing implementation of the risk management framework for the Joint Board will be resourced through the Senior Leadership Group's arrangements (referred to in 5.4).

6.2 Wherever possible the IJB will ensure that any related risk management training and education costs will be kept to a minimum, with the majority of risk-related courses/ training being delivered through resources already available to the IJB (the partner body risk managers/ risk management specialists).

7. Resourcing those responsible for managing specific risks

7.1 Where risks impact on a specific partner body and new risk control measures require to be developed and funded, it is expected that the costs will be borne by that partner organisation.

7.2 Financial decisions in respect of the IJB's risk management arrangements will rest with the Chief Financial Officer.

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Structure for the session

- ✓ Why good risk management is important
- ✓ Key principles
- ✓ What you can expect from a good risk management framework
- ✓ HSCP current focus re risk management

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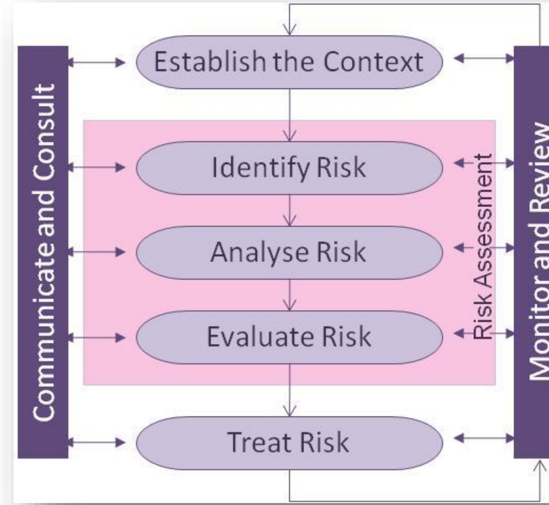
Why good risk management is important

- ✓ Acknowledges that some risk is good
- ✓ Creates an environment where we can openly discuss aspirations and challenges
- ✓ Allows a considered, proportionate response
- ✓ Enables better outcomes
- ✓ Shifts the balance from incident management to prevention
- ✓ Reduces the likelihood of unwelcome surprises
- ✓ Reduces complaints and claims
- ✓ Protects valuable resources
- ✓ Learns from the past in order to protect in the future

Key principles

- ✓ We need a recognised method to help us understand risk and its significance
- ✓ We need to respond to risk in a way that is proportionate

  (unless directed by legislation)
- ✓ We need to engage with the people who need to know about the risk and how it is to be managed
- ✓ We need to monitor the risk and how it's being managed
- ✓ We need to be careful that in managing one risk, we don't inadvertently create others



Likelihood	Consequent Impact				
	1 Insignificant	2 Minor	3 Moderate	4 Major	5 Extreme
5 Almost Certain	5	10	15	20	25
4 Likely	4	8	12	16	20
3 Possible	3	6	9	12	15
2 Unlikely	2	4	6	8	10
1 Remote	1	2	3	4	5

Low (1-3), Moderate (4-9), High (10-16), or Very High (17-25)

A good risk management framework

- ✓ Policy, strategy and procedures
- ✓ Agreed risk management process
- ✓ Agreed risk appetite
- ✓ Agreed risk management reporting arrangements
- ✓ A means to store, share and monitor risk information

and to really add value....

- ✓ Risk aware culture/ risk aware decisions
- ✓ Risk management applied in all areas of the 'business'
- ✓ Balance increasingly towards prevention
- ✓ Willingness to learn lessons
- ✓ Access to, and good uptake of risk management training and development opportunities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Health and Social Care Partnership

Risk Management Policy and Strategy

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HSCP current focus re risk management

The Head of Administration's office confirms:

- ✓ Review of risk policy and strategy
- ✓ Review of risk focused group/s
- ✓ Training needs analysis for risk management
- ✓ Proposal for IJB Audit Committee risk workshop


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Renfrewshire Integration Joint Board

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Health and Social Care Partnership

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Risk Management

"Balancing risk in the context of benefit and opportunity"

Thank You

Karen Locke, Risk Manager, 0141 618 7019

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